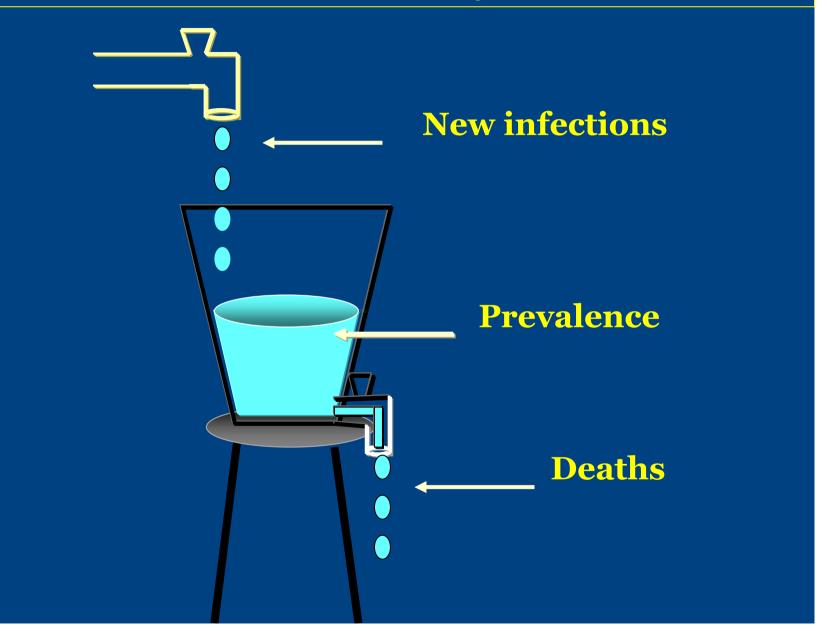
## Second generation HIV surveillance: Better data for decision making

Prof Thomas M Rehle, MD, PhD Human Sciences Research Council, South Africa

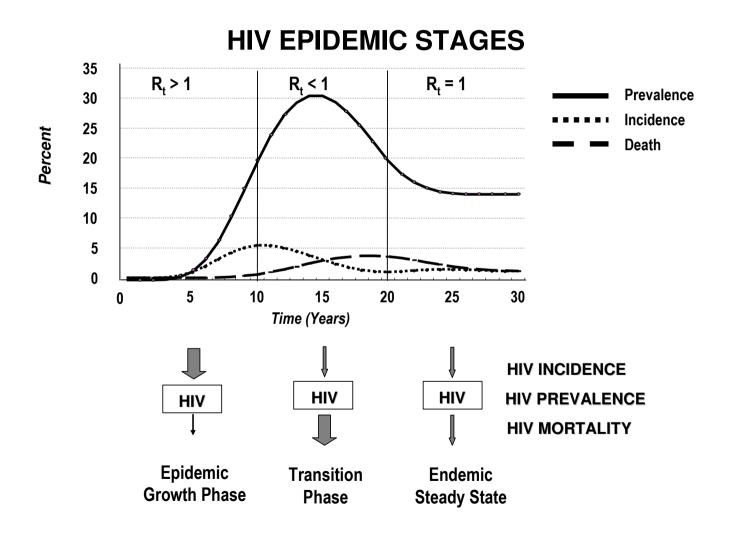
HAI Conference on Prevention and Control of the HIV Epidemic in Botswana

Gaborone, June 12-15, 2008

## If it was so easy...



#### Relationship between incidence, prevalence, and mortality



Source: FHI Evaluation Handbook 2001

## Basic reproductive rate R<sub>o</sub> of HIV infection

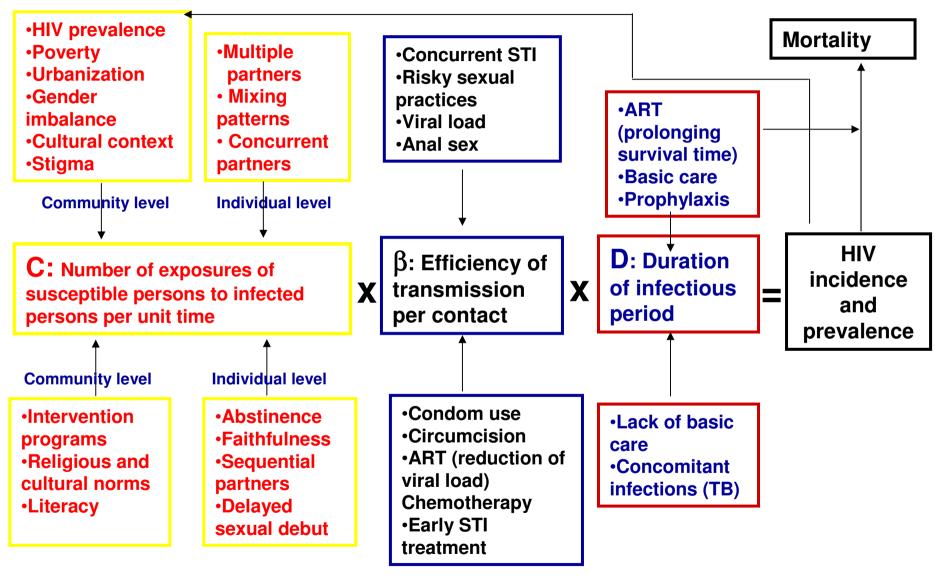
C: Number of exposures of susceptible persons to infected persons per unit time

β: Efficiency of transmission per contact

D: Duration of infectious period

HIV incidence and prevalence

#### Factors potentially facilitating HIV spread



Factors potentially reducing HIV spread

#### Data for Improved Analysis and Decision Making

#### **Socio-demographic Data**

- morbidity & mortality
- •fertility
- •male circumcision
- •migration patterns

#### **Biologic Data**

- •HIV
- •AIDS
- •STD
- •Hepatitis B, C
- •TB

#### **Behavioral Data**

- •general population
- •sub-populations at higher risk
- young people

Analysis of HIV/AIDS epidemic
Design of Interventions
Evaluation of Program Effects
Policy Analysis
Resource Allocation

**Evaluation** 

"Effectiveness evaluation"

#### Thomas Rehle et al.

Fig. 2. Framework of monitoring and evaluation efforts

#### Monitoring "Process evaluation"

Inputs	Outputs	Outcomes	Impact
<ul> <li>Resources</li> <li>Staff</li> <li>Funds</li> <li>Materials</li> <li>Facilities</li> <li>Supplies</li> <li>Training</li> </ul>	<ul> <li>Condom availability</li> <li>Trained staff</li> <li>Quality of services</li> <li>Knowledge of HIV transmission</li> </ul>	<ul> <li>Behaviour change<sup>a</sup></li> <li>Attitude change<sup>a</sup></li> <li>Changes in STI trends<sup>a</sup></li> <li>Increase in social support</li> <li>Reduced stigma and discrimination</li> </ul>	Changes in:  HIV/AIDS trends <sup>a</sup> AIDS-related mortality <sup>a</sup> Social norms  Coping capacities in communities  Economic impact
			_

#### Levels of evaluation efforts

Information provided by second-generation HIV surveillance systems.

## Critical Questions

Are the observed changes in HIV trends:

- 1. a reflection of the natural history of the epidemic?
- 2. a product of changes in behavior?
- 3. a product of interventions?

## Factors Contributing to Observed Changes in HIV Prevalence

- Mortality, especially in mature epidemics
- Decrease in new HIV infections as a result of behavior change:
  - Effect of interventions
  - Spontaneous (e.g. close friend with HIV/AIDS)
- Population differentials related to in- and out migration patterns
- Sampling bias and/or errors in data collection

#### **Expected increase in HIV Prevalence due to:**

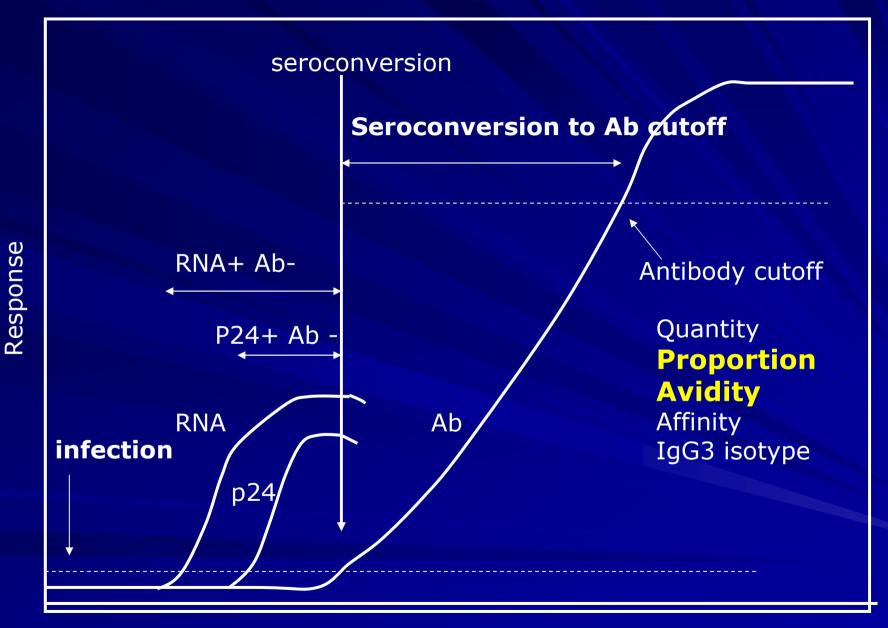
 Decrease in deaths in HIV infected persons as a result of antiretroviral therapy (ART)

## **Estimating national HIV incidence**

- Epidemiological methods
  - Cohort studies (directly observed incidence)
  - HIV prevalence in youngest age group (as a proxy for recent infection)
  - Mathematical modeling (indirect incidence estimate)
- Laboratory- based methods

   (direct incidence measure from cross-sectional surveys)

#### **Detection of early HIV infection**



Time

#### Limitations of existing assays

- Some overestimate HIV incidence due to misclassification of long-term infections as recent
- Some remain to be evaluated in larger samples with diverse HIV-1 subtypes
- Some have no HIV incidence formulas established
- In-house assays may not be reproducible

#### **Adjusting HIV incidence estimates**

#### Case-based surveillance

- using HIV-testing and ART history
- Not feasible in many resource-poor settings

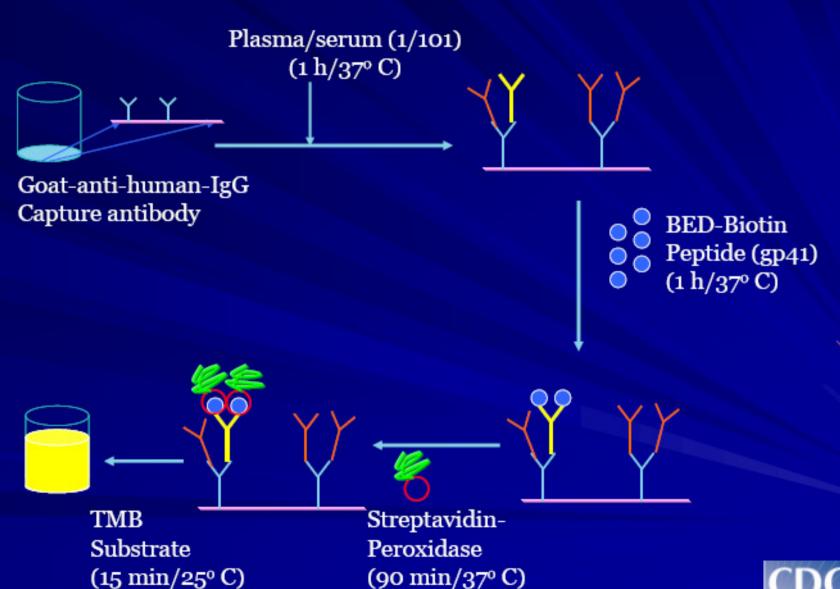
#### ■ Formula-based adjustments

 More data needed to account for ARTrelated misclassification and appropriate adjustments

#### Laboratory based adjustment

Sequential testing algorithm (not yet validated)

## **Schematic of the BED-CEIA**



### BED window periods at 0.8 cutoff

AD

B

B

C

C

Ε

Kenya

Amsterdam

Thailand

**Zimbabwe** 

Ethiopia

Thailand

171 (150-199)

127 (113-152)

143 (118-170)

181 (165-198)

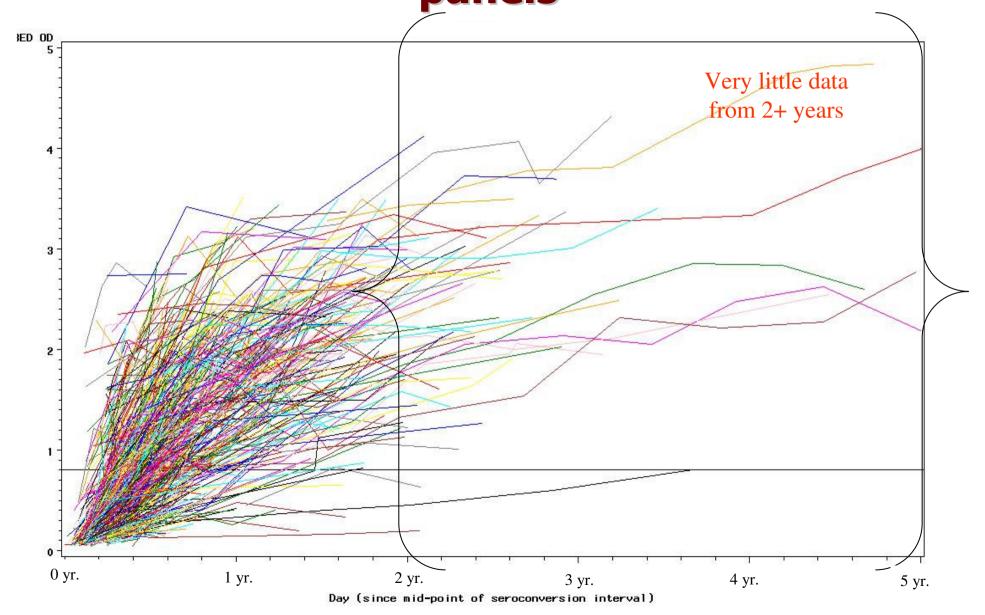
167 (154-180)

115 (106-125)





BED OD values over time in seroconverter panels



### **BED** incidence adjustments

- BED validation meeting, CDC 2006:
  - Sensitivity/Specificity Adjustment (McDougal et al.)
  - Specificity Adjustment (Hargrove et al.)
  - Validated for HIV-1 subtypes B and C
    (2 532 specimens from 1 192 individuals)

### National HIV Household Survey South Africa 2005

- First national survey with HIV incidence testing
- Study population: 2 years and older
- Anonymous HIV testing of dried blood spot specimens
- Final sample: 23 275 interviewed, 15 851 tested for HIV

#### **BED HIV incidence calculation**

$$I = \frac{F (365/w) N_{inc}}{N_{neg} + F (365/w) N_{inc}/2} \times 100$$

$$(R/P) + \gamma - 1$$
Adjustment Factor = 
$$(R/P) (\alpha - \beta + 2\gamma - 1)$$

$$(R/P) (\alpha - \beta + 2\gamma - 1)$$

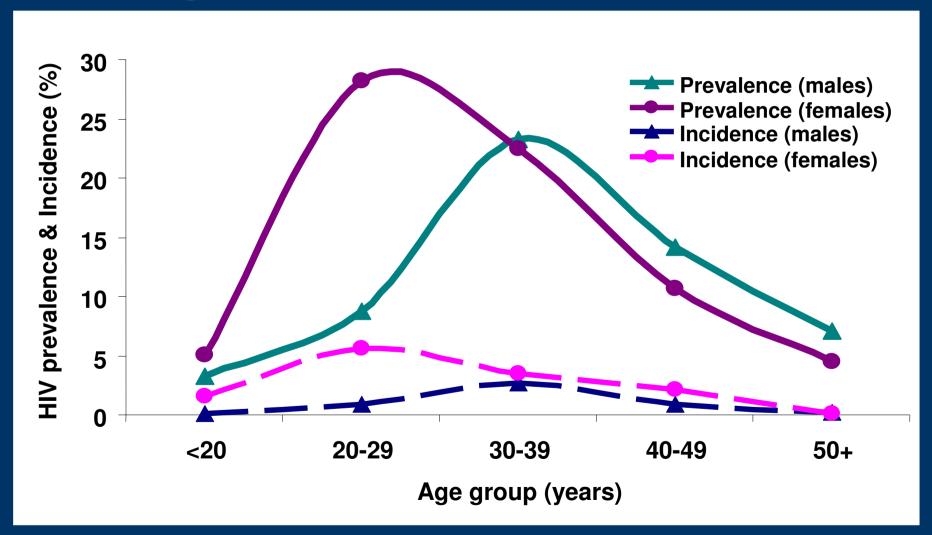
Window period = 180 days

Incidence = number of new infections per year per 100 persons at risk (% / year)

# HIV incidence % and number of new infections by age group, South Africa 2005

Age group (years)	Weighted sample (n)	HIV incidence % per year [95%CI]	Estimated number of new infections per year (n)
≥ 2	44 513 000	1.4 [1.0 - 1.8]	571 000
2-14	13 253 000	0.5 [0.0 - 1.2]	69 000
15-24	9 616 000	2.2 [1.3 - 3.1]	192 000
15-49	24 572 000	2.4 [1.7 – 3.2]	500 000

# HIV prevalence and HIV incidence by age and sex, South Africa 2005

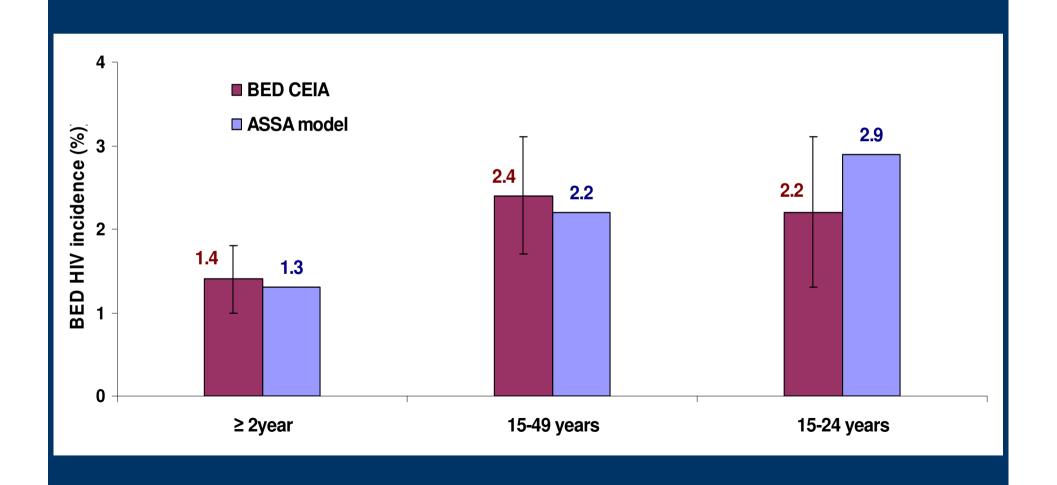


Rehle et al. SAMJ 2007; 97: 194-199

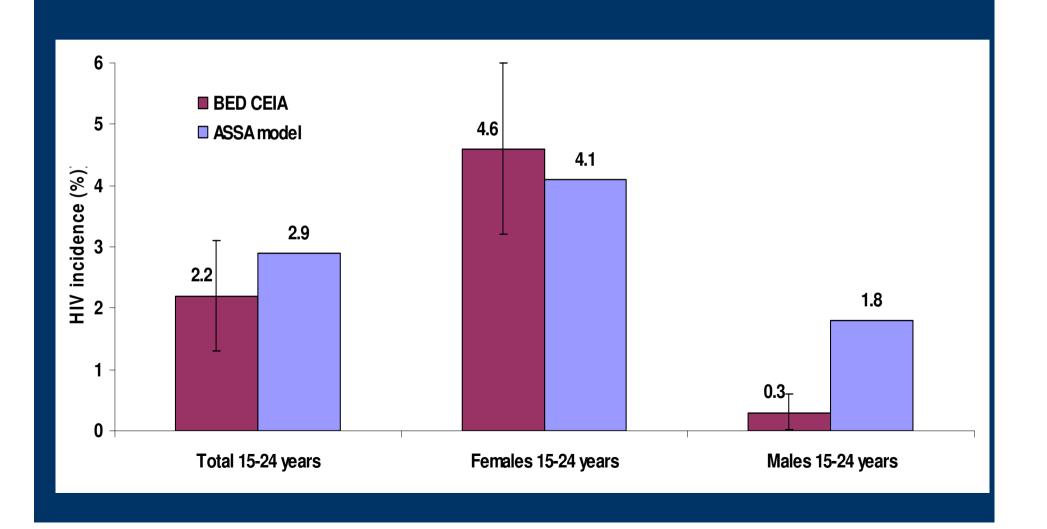
# Are the adjusted BED HIV incidence estimates plausible?



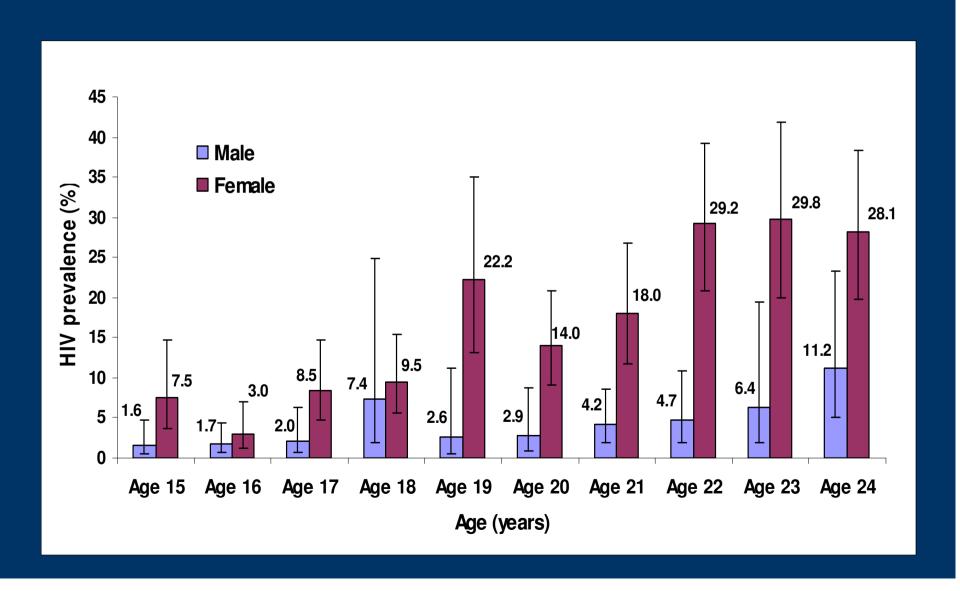
## BED HIV incidence vs ASSA model (estimates for 2005)



## BED HIV incidence vs ASSA model: male and female youth 15-24 years



## HIV prevalence in youth by single year of age HSRC 2005



# HIV incidence and behaviour HSRC 2005 (age group 15 – 49 years)

Variable	HIV incidence (% per year)
Marital status Single Married Widowed	3.0 1.3 5.8
Sexual history Sexually active in the past 12 months Current pregnancy	2.4 5.2
Condom use at last sex (15-24 yrs) Yes No	2.9 6.1

### **Conclusion**

- Incidence measures are generally better than prevalence measures for assessing current HIV-transmission dynamics and the impact of HIV prevention programs
- Laboratory-based HIV incidence estimation from representative cross-sectional surveys is method of choice for national HIV incidence surveillance
- Assay-based HIV incidence analysis needs to account for ART-related misclassification