

Prevalence and associated factors of tuberculosis treatment outcome among hazardous or harmful alcohol users in public primary health care in South Africa

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Abstract

Background: Tuberculosis (TB) remains a chronic infectious disease with high morbidity and mortality.

Objective: The aim of this study was to assess the prevalence and associated risk factors of tuberculosis treatment failure, death and default among hazardous or harmful alcohol users.

Method: We conducted a prospective study with TB patients in 40 public health clinics in three districts in South Africa. All consecutively new tuberculosis and retreatment patients presenting at the 40 primary health care facilities with hazardous or harmful alcohol use were included in this study. Logistic regression was used to assess determinants of TB treatment failure, death and default.

Results: The findings of our study showed that 70% of TB patients were either cured or had completed their TB treatment by the end of 6 months. In multivariate analysis participants living in a shack or traditional housing (Odds Ratio=OR: 0.63, Confidence Interval=CI: 0.45-0.89), being a TB retreatment patient (OR: 1.61, CI: 1.15-2.26) and residing in the eThekweni district (OR: 1.82, CI: 1.27-2.58) were significant predictors of treatment failure, death and default.

Conclusion: A high rate of treatment failure, death and default were founded in the assessed TB patients. Several factors were identified that can guide interventions for the prevention of treatment failure, death and default.

Key words: Tuberculosis, treatment failure, death, default, determinants, alcohol misuse, public primary care patients, South Africa

Background

Although there are numerous global efforts to control tuberculosis (TB), it remains a chronic infectious disease with high morbidity and mortality in several parts of the world.^{1,2,3} As the world's most frequent contagious disease, TB causes about 2 million deaths a year, with more than 8 million people contracting

the disease every year.^{4,5} According to the WHO,⁶ an estimated 1.7 million people died from TB in 2009, with the highest number of TB related deaths being in the African region.

While modern TB treatment regimens are generally associated with a good prognosis,⁷ factors such as bacterium characteristics, heterogeneity of patients' clinical characteristics, patient behaviour, quality of health care, HIV co-infection and multi-drug resistant tuberculosis (MDRTB) are known to influence TB treatment outcomes.^{2,8,9,10} A number of factors including age, male gender, delays in diagnosis and treatment, drug resistance, and co-

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morbid conditions including HIV co-infection, diabetes¹, alcoholism, smoking, lower educational and income levels, unemployment, treatment for other concomitant diseases, and side effects of anti-TB drugs¹¹ have been associated with increased risk of death in patients with active tuberculosis. Various studies support a strong association between alcohol use, alcohol use disorders and TB.¹²⁻¹⁴ These studies show the pathogenic impact of alcohol on the immune system causing susceptibility to TB among drinkers.¹³ People that drink heavily show higher relapse rates, a higher probability of an unfavourable clinical course and a higher probability of experiencing the most destructive forms of TB.^{12,14} TB patients with an unsuccessful treatment outcome, especially due to treatment failure and default, are a public health concern as they are at risk for clinical deterioration and complications, can continue to be infectious to others, and are at risk of premature death from TB.^{9,12} TB treatment default, defined by the World Health Organization (WHO) as a treatment interruption of two consecutive months or more after at least one month on treatment,¹³ is among the factors that has been linked to TB related mortality, 45% in Vietnam, 27% in Mexico, 28% in South Africa and 54% in the USA.¹⁴ Various studies conducted in West Asia, Australia, Canada, Russia, Switzerland, and the USA had identified potential risk factors for TB treatment default.¹⁵⁻¹⁸

There seems to be strong evidence that the risk of death and default increases among HIV positive patients.^{12,19-21} However, in addition to HIV co-infection being a risk factor, other predictive factors attributed to TB treatment default among TB patients are such risk factors as ignorance, travelling long way to treatment site, feeling better, side-effects, inadequate knowledge about TB, herbal medication use, low income, alcohol abuse, previous default, and the male sex.^{12,20,22} Alcohol abuse has also been associated with forgetting to take treatment (in 7.5% cases) and consequently defaulting.²⁰

In 2007, the African continent had the lowest

tuberculosis (TB) cure rate (54%-74%) compared to the global cure rate (84%), primarily due to the lack of treatment adherence.²³ A low cure rate and a high treatment default rate provide opportunities for maintaining transmission, relapse or treatment failure, mortality and the development of resistant TB.^{22,24} Some studies have indicated that in sub-Saharan Africa poor public transport contributes to high treatment default.^{20,25}

The aim of this study was to assess the prevalence and associated risk factors of tuberculosis treatment failure, death and default among hazardous or harmful alcohol users in selected health districts in South Africa.

Methodology

Sampling and procedure

This was a prospective study conducted with TB patients with alcohol problems in public health clinics in South Africa. Three provinces, in South Africa, with the highest TB caseload were selected for inclusion in the study. One district in each province (N=3) with the highest TB caseloads were consequently included. These districts were Siyanda in the Northern Cape Province, Nelson Mandela Metro in the Eastern Cape Province, and the eThekweni in KwaZulu-Natal Province. Clinics with a high TB caseload (based on statistics provided by the Department of Health) in each of the three study districts with a high burden of TB were included in the study. Within each of these three study districts 14 public primary health care facilities were selected based on the highest TB caseloads per clinic, and 2 clinics were excluded since they had no alcohol abusing patients so that 40 clinics remained, 14 in eThekweni, 13 in Nelson Mandela Metro and 13 in Siyanda. The type of health facility was a primary health care clinic or community health centre. The study catchment areas within the study health districts and randomization procedures enabled a broad coverage of major population groups.

TB patients were consecutively recruited and interviewed by trained research assistants over

a period of six months. A health care provider who identified a new or retreatment TB patient (within one month of treatment initiation) informed the patient about the study and if interested, were referred to the research assistant. A research assistant asked for consent from patients to participate in the study. All new tuberculosis and retreatment patients (i.e. those patients who have been initiated or have been on anti-TB treatment for less than one month), males and females, 18 years and above who visited the primary health care facility were screened using the Alcohol Use Disorder Identification Test (AUDIT). Those who scored 8 or more for men and 7 or more for women on the AUDIT questionnaire after the screening were included in this study. Research assistants conducted follow-up interviews at 6 months following baseline assessment at scheduled clinic visits. The research assistants were all individuals with a matric or Grade 12 qualification living in the communities where the study clinics were located and who spoke the predominant languages, namely English, Afrikaans, i-Zulu, i-Xhosa and Tswana, in the respective areas. They all attended a 3-day training in questionnaire administration, research procedures and ethics upon which they received a certificate for successful completion.

In addition, medical file information was collected for HIV and TB treatment status and outcome. Non-attenders were followed up by telephone and home visits arranged as necessary. Ethical approval was obtained from the Human Sciences Research Council Research Ethics Committee (Protocol REC No.1/16/02/11). The Department of Health in South Africa has also provided approval for this study.

Measures

The outcome was the successful TB response, classified by WHO as cured or treatment completed (versus treatment failure, defaulted, died or transferred out to another health facility).¹³

This was assessed by inspection of medical records.

Further, patients who previously had not completed their TB treatment were asked as to why they had stopped TB treatment.

Socioeconomic characteristics assessed were age, gender, educational level, marital status, income, employment status, dwelling characteristics and residential status. *Poverty* was assessed with 5 items on the availability or non-availability of shelter, fuel or electricity, clean water, food and cash income in the past week. Response options ranged from 1="Not one day" to 4="Every day of the week". Poverty was defined as higher scores on non-availability of essential items. The total score ranged from 5 to 20 with 20 indicative of the 'highest level of poverty'. The categories of poverty, therefore, are as follows: 5=low, 6-12= medium and 13-20=high. Cronbach alpha for the poverty index in this study was 0.89.

Perceived general health: Participants were asked, "In general, would you say your health is: excellent, very good, good, fair or poor?" This measure was categorized based on participant response (very good = excellent/very good, good, and poor = fair/poor).

Chronic conditions: Two questions were asked to determine whether participants had chronic conditions and taking medications for their chronic conditions. The questions asked were "Has a doctor or nurse or health worker at a clinic or hospital ever told you that you have or have had any of the following conditions?" They were given a list of chronic conditions to choose from.^{26,27} Response options were "yes" or "no".

The 10-item *Kessler Psychological Distress Scale* (K-10): was used to measure global psychological distress, including significant pathology which does not meet formal criteria for a psychiatric illness.^{28,29} The frequency with which each of the items was experienced was recorded using a five-point Likert scale ranging from "none of the time" to "all the time". This score was then summed with increasing scores reflecting an increasing degree of psychological distress. We examined the K-10 scale used as a binary variable, comparing scores of 10-15 versus 16 or

more. The internal reliability coefficient for the K-10 in this study was $\alpha = 0.92$.

Alcohol consumption: The 10-item Alcohol Disorder Identification Test (AUDIT)³⁰ assesses the alcohol consumption level (3 items), symptoms of alcohol dependence (3 items), and problems associated with alcohol use (4 items). Responses to items in the AUDIT are rated on a 4-point Likert scale from 0 to 4, for a maximum score of 40 points. Higher AUDIT scores indicate more severe levels of risk; scores 8 indicate a tendency to problematic drinking. Cronbach alpha for the AUDIT in this sample was 0.92, indicating excellent reliability. Hazardous drinking is defined as a quantity or pattern of alcohol consumption that places patients at risk for adverse health events, while harmful drinking is defined as alcohol consumption that results in adverse events (e.g., physical or psychological harm).³¹

Tobacco use: Two questions were asked about the use of tobacco products. (a) Do you currently use one or more of the following tobacco products (cigarettes, snuff, chewing tobacco, cigars, etc.)? Response options were 'yes' or 'no'. (b) In the past month, how often have you used one or more of the following tobacco products (cigarettes, snuff, chewing tobacco, cigars, etc.) Response options were once or twice, weekly, almost daily and daily.

Data Analysis

Data were analyzed using the IBM Statistical Package for the Social Sciences (SPSS) for Windows software application programme version 19.0. Frequencies, means, standard deviations, were calculated to describe the sample. Data were checked for normality distribution and outliers. Associations of TB treatment failure, death and default, sociodemographic and health variables were identified using logistic regression analyses. Following the univariate regression, a multivariable regression model was constructed. Independent variables from the univariate analyses were entered into the multivariable model if significant at $P < 0.05$ level. The R^2 is presented

to describe the amount of variance explained by the multivariable model. Probability below 0.05 was regarded as statistically significant.

Results

Sample characteristics

We identified 1196 TB patients across 40 primary care clinics. These patients were screened for alcohol and tested positive for the AUDIT. The participants were followed up at 6 months and assessed for TB treatment outcomes. 1049 (88%) were followed-up and 12% could not be followed up due to various reasons including misplaced fieldworkers codebooks, the incorrect recording of names, names that could not be matched with the clinic register and misplaced clinic registers.

The total sample at baseline comprised of 1196 participants with 48% between the age of 18-34 years, 28% between the age of 35-44 years and 24% were participants over 45 years of age. The majority of the total sample (70%) was cured or completed their TB treatment and this rate was more for females compared to males. Thirty percent of the sample had treatment failure, died or defaulted on their treatment.

The majority of participants did not have a grade 12 or higher educational attainment (88%), however only 21.8% scored high on the poverty index. Most of the participants relied on family contributions (38.2%) followed by those who had a formal salary (24.3%) and social grants (20.8%), while 16.7% had no form of income. The participants who had a formal salary and those with no income (30.8% and 39.1%, respectively), experienced more treatment failure, default and death compared to those who either relied on family contributions or social grants (28.9% and 28.4%, respectively). A third of the retreatment patients (32.2%) who had treatment failure, defaulted or died, had reported an HIV positive status. Forty six percent of the sample perceived their health status as fair or poor. Fewer participants (26.4%) with three or more chronic conditions experienced treatment failure, default and death compared to those who reported two, one or no other chronic conditions

(33.9%, 32.5% and, 29.4%, respectively).

TABLE 1

Associations with TB treatment failure, death and default for all TB patients (new and retreatment)

Table 1: Sample characteristics at baseline (<4 weeks TB treatment) (N=1196)

	Total sample	TB treatment outcome	
		Cured/complete	Failure, and default
Socioeconomic factors			
<i>Age in years</i>			
All		695 (70.0)	209 (30.0)
18-34	565 (48.0)	320 (69.1)	143 (30.9)
35-44	329 (28.0)	195 (72.2)	75 (27.8)
45 or more	283 (24.0)	168 (69.4)	74 (30.6)
Missing	19		
<i>Gender</i>			
Female	302 (25.7)	175 (72.0)	68 (28.0)
Male	873 (74.3)	504 (69.0)	226 (31.0)
Missing	21		
<i>Education</i>			
Grade 7 or less	384 (32.6)	226 (68.5)	104 (31.5)
Grade 8-11	568 (48.2)	337 (70.6)	140 (29.4)
Grade 12 or more	227 (19.3)	125 (71.8)	49 (28.2)
Missing	17		
<i>Poverty index (5-20)</i>			
Low (5)	325 (29.3)	187 (72.5)	71 (27.5)
Medium (6-12)	542 (48.9)	296 (64.1)	166 (35.9)
High (13-20)	242 (21.8)	161 (78.9)	43 (21.1)
Missing	87		
<i>Household income</i>			
Formal salary	249 (24.3)	144 (69.2)	64 (30.8)
Family contributions	392 (38.2)	231 (71.1)	94 (28.9)
Social grants	213 (20.8)	121 (71.6)	48 (28.4)
No income	171 (16.7)	84 (60.9)	54 (39.1)
Missing	171		
<i>Dwelling type</i>			
Shack/traditional	389 (35.4)	202 (63.3)	117 (36.7)
Permanent	711 (64.6)	423 (72.1)	164 (27.9)
Missing			
<i>Health district</i>			
Nelson Mandela	565 (47.3)	378 (76.4)	117 (23.6)
Siyanda	76 (6.4)	57 (79.2)	15 (20.8)
eThekwini	554 (46.4)	259 (60.9)	166 (39.1)
Missing	96		
Health variables			
Retreatment patient	375 (31.7)	201 (63.6)	115 (36.4)
HIV positive	644 (54.3)	352 (67.8)	167 (32.2)
<i>Perceived health status</i>			
Excellent/very good	227 (19.2)	139 (75.1)	46 (24.9)
Good	416 (35.1)	254 (71.3)	102 (28.7)
Fair/poor	541 (45.7)	297 (67.0)	146 (33.0)
Missing	12		
<i>Chronic conditions</i>			
0	705 (69.7)	421 (70.6)	175 (29.4)
1	173 (17.1)	104 (67.5)	50 (32.5)
2	69 (6.8)	37 (66.1)	19 (33.9)
3 or more	65 (6.4)	39 (73.6)	14 (26.4)
Missing	184		
Psychological distress (Kessler 10 >15 score)	930 (83.6)	516 (68.1)	282 (30.6)

Daily or almost daily tobacco use	449 (40.1)	dwelling (69.9%)	parents from 16 mos) in the eThekweni
Alcohol (AUDIT 20 or more)	321 (26.8)	208 (73.2)	76 (26.8)

In multivariate analysis living in a shack or traditional health district and being a retreatment patient were significant predictors of treatment failure, death and

default.

TABLE 2

Qualitative responses as to why patients defaulted on their treatment

Table 2: Regression model with TB treatment failure, death and default for all TB patients (new and retreatment)

Socioeconomic factors	Crude Odds Ratio (95% CI)	Adjusted Odds Ratio (95% CI) ^a
<i>Age</i>		
18-34	1.00	1.00
35-44	0.86 (0.62-1.20)	0.90 (0.62-1.32)
45 or more	0.99 (0.70-1.38)	0.91 (0.62-1.35)
<i>Gender</i>		
Female	1.00	1.00
Male	1.15 (0.84-1.59)	1.17 (0.80-1.72)
<i>Education</i>		
Grade 7 or less	1.00	---
Grade 8-11	0.90 (0.67-1.22)	
Grade 12 or more	0.85 (0.57-1.28)	
<i>Poverty index</i>		
Low	1.00	1.00
Medium	1.48 (1.06-2.06)*	1.27 (0.87-1.85)
High	0.70 (0.46-1.09)	0.73 (0.43-1.23)
<i>Household income</i>		
Formal salary	1.00	---
Family contributions	0.92 (0.63-1.34)	
Social grants	0.89 (0.57-1.39)	
No income	1.45 (0.92-2.27)	
<i>Dwelling type</i>		
Shack/traditional	1.00	1.00
Permanent	0.67 (0.50-0.90)**	0.63 (0.45-0.89)**
<i>Health district</i>		
Nelson Mandela	1.00	1.00
Siyanda	0.85 (0.46-1.56)	0.76 (0.38-1.51)
eThekweni	2.07 (1.56-2.75)***	1.81 (1.27-2.58)***
Health variables		
Retreatment patient	1.54 (1.15-2.04)**	1.61 (1.15-2.26)**
HIV positive	1.25 (0.95-1.64)	---
<i>Perceived health status</i>		
Excellent/very good	1.00	1.00
Good	1.21 (0.81-1.82)	0.91 (0.56-1.48)
Fair/poor	1.49 (1.01-2.19)*	1.04 (0.65-1.65)
<i>Chronic conditions</i>		
0	1.00	---
1	1.16 (0.79-1.69)	
2	1.24 (0.69-2.21)	
3 or more	0.86 (0.46-1.63)	
Psychological distress (Kessler 10 >15 score)	1.47 (1.00-2.16)*	1.31 (0.85-2.02)
Daily or almost daily tobacco use	0.97 (0.74-1.29)	---
Alcohol (AUDIT 20 or more vs. 7/8-19)	0.80 (0.59-1.09)	---

^aUsing “enter” logistic regression selection of variables; Hosmer and Lemeshow Chi-square 7.34, df 8, 0.501;

Cox and Snell R² 0.06; Nagelkerke R² 0.09; * *p* < 0.05; ** *p* < 0.01; *** *p* < 0.001.

In total 106 patients responded with reasons as to why

were mainly significant health improvement, structural and psychosocial including alcohol problems (see Table 3).

TABLE 3

Table 3: Qualitative responses as to why patients defaulted on their TB treatment (N=106)

Qualitative responses	%
1. Feeling Well (after talking to a nurse and three months after treatment)	17.4
2. Only did 3 months of the first 6 months thereafter stopped because of symptoms, was clean, no reason, just stopped	15.9
3. Changed jobs, moved location	14.5
4. Structural (no money to go to hospital/clinic, buy food, too far to clinic)	13.0
5. Got tired of taking medications	11.0
6. Misunderstanding with the health care provider, could not get a letter from where I was diagnosed to where I was referred	10.1
7. An alcohol problem	9.6
8. Medication side effects (ear problem, vomiting)	3.5
9. Family problems, e.g. brother died	2.9
10. Imprisoned, arrested	2.9

they had previously stopped TB medication, which

Discussion

The findings of our study showed that the majority of new tuberculosis and retreatment patients (70%) were either cured or had completed their TB treatment by the end of 6 months. Of concern though is the notable finding that 30% of TB patients had treatment failure, had died or defaulted on their treatment. High default rates have been reported to be the main cause of the growing number of treatment failure and increased drug resistance in retreatment cases.³²

Our study results support previous reports of better success in treatment rate for females compared to males. Although this difference between males and females was not statistically significant, similar findings were reported in previous studies where men seemed to have more default rate compared to women.^{1,3,11,20} This study indicates that compared to patients residing in permanent housing, patients living in a shack or a traditional dwelling were found to be at greater risk for treatment failure, death and default. There has been an abundance of literature

on poverty as a risk factor for TB adherence.^{3, 4, 6, 33} Also, patients classified among the poorest and most socially marginalized struggle to afford transport to get to clinics for their TB treatment. It is expected that transport in the inner cities was generally easily accessible compared to rural areas but this may not be the case. Previous study findings in a systematic review assessing TB treatment compliance and the factors predictive for poor adherence among TB patients in sub-Saharan Africa have also reported the poor public transport as a problem that can result in high treatment default.^{20,25} An unexpected finding was that patients who had a formal salary (30.8%) experienced more treatment default, and death outcomes compared to those who either relied on family contributions (28.9%) or social grants (28.4%). It would have been expected that patients with a formal salary will be able to complete their treatment as they were expected to afford to get to the clinic as previous studies have also indicated a strong association between treatment default and low income.^{11,12}

Similar to previous studies,^{12, 19-21} we also found that retreatment was strongly associated with

treatment default. With the Department of health having introduced a programme of tracing TB treatment defaulters, it would help to determine the detailed reasons for defaulting even for patients who are retreated after previously defaulting. In this study self-reported reasons for defaulting from TB treatment included significant health improvement, structural and psychosocial including alcohol problems. Such information can be used to improve treatment adherence and lead to improvement in the overall TB epidemiological situation in the country. Of note was that specific clinics in the eThekweni health district had a substantially higher number of patients 39.1% with treatment failure or default compared to the other two districts, Nelson Mandela and Siyanda (23.6% and 20.8% respectively). This finding needs further research and possibly increased health systems interventions in the eThekweni health district.

We found psychological distress was associated with treatment default, although in multivariate analysis this was not significant. This may be important to note as this sample being a vulnerable group, may require special attention in the control of TB.³⁴ A study in India among new smear positive TB patients treated under DOTS found alcoholism as a predictor of noncompliance and poor patient provider interaction as a barrier to enhanced treatment compliance,³⁵ the problem of alcohol use was also mentioned by self-report as a reason to default in this study. Contrary to the findings of previous studies where comorbid conditions resulted in treatment failure,³⁶ it was encouraging that in the current study fewer participants (26.4%) with three or more chronic conditions experienced treatment failure, default and death compared to those who had less or no other chronic conditions.

Study limitations

Caution should be taken when interpreting the results of this study because of certain limitations. One of

the limitations was that most variables were assessed by self-report and desirable responses may have been given. Generalisability of our findings is limited to TB patients on treatment in public primary care facilities. Another limitation is the loss to follow-up (12%) due to incorrect recording of names, the missing codebooks of fieldworkers and names not found in clinic registers may have resulted in the study findings being biased because those interviewed might differ from those not found and it is possible that more non-traceable defaulters had died. Furthermore, the study only assessed TB patients in the urban and peri-urban health facilities.

Conclusion

We assessed the prevalence and associated factors of tuberculosis treatment failure, death and default among hazardous or harmful alcohol users in public health clinics. A high rate of treatment failure, death and defeat were founded in the assessed TB patients. Several factors were identified including sociodemographic and being a retreatment patient that can guide interventions for the prevention of treatment failure, death and default.

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